

Export LC Liquidation User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Liquidation User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline their trade finance operations. OBTFPM facilitates its customers to initiate new Trade transactions/handle response to existing transaction through Trade portals, or by visiting the branch or through SWIFT.

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Liquidation

Export LC liquidation process enables the user to liquidate of drawings under an Export LC.

This section contains the following topics:

Common Initiation Stage	Reject Approval
Registration	Data Enrichment
Exceptions	Multi Level Approval

Common Initiation Stage

The user can initiate the new export LC liquidation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the Drawing Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

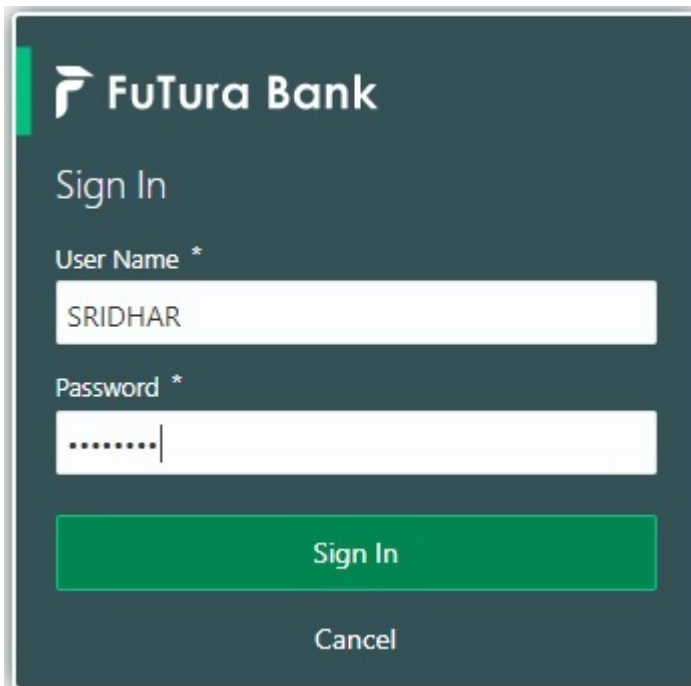
Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

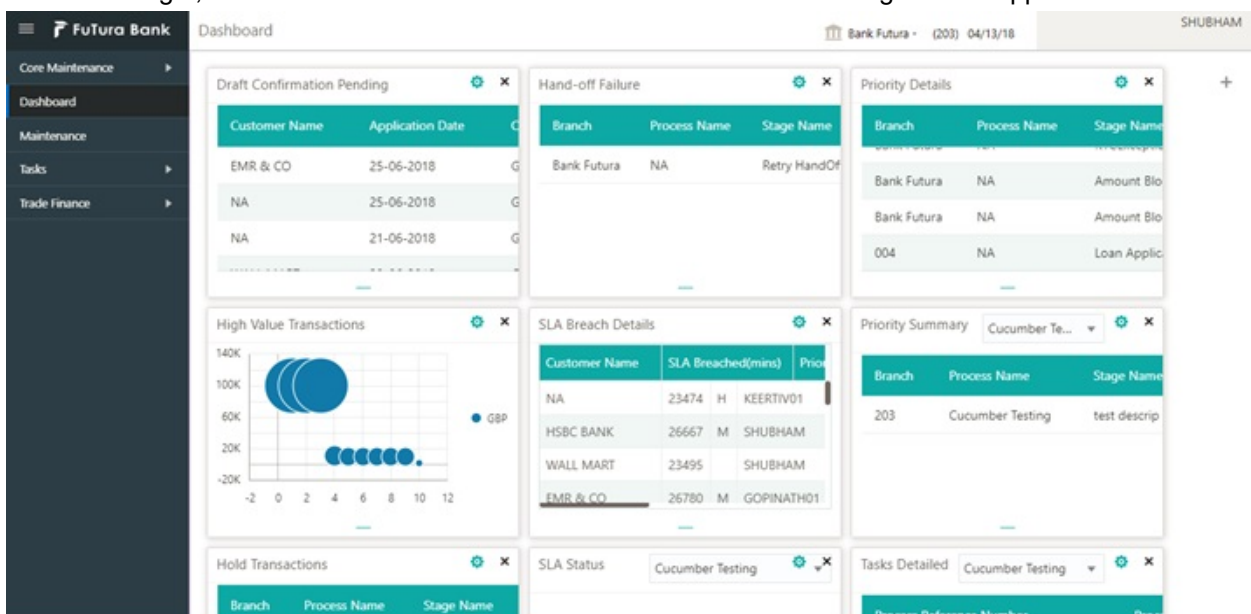
The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit of the request the request will be available for an LC expert to handle the liquidation request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'Sign In' screen of the FuTura Bank application. It features the FuTura Bank logo at the top left. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters '.....'. A green 'Sign In' button is positioned below the password field, and a 'Cancel' button is located at the bottom right of the form area.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Liquidation.

The screenshot shows the FuTura Bank Dashboard. The left sidebar contains a menu with options like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Trade Finance, Bank Guarantee Advice, Bank Guarantee Issuance, Export - Documentary, Export LC Update Drawing, Export LC Advise, Export LC Amendment, Export LC Amendment..., **Export LC Cancellation** (highlighted with a red box), Export LC Drawing, Export LC Liquidation, Import - Documentary, and Swift Processing. The main dashboard area displays several widgets: 'High Value Transactions' (a bubble chart showing USD, GBP, and EUR transactions), 'SLA Breach Details' (a table with columns: Customer Name, SLA Breached(mins), and Priority), 'SLA Status Summary' (a table with columns: Process Name and Stage Name), 'Draft Confirmation Pending' (a table with columns: Customer Name, Application Date, Currency, Amount, Process Reference Number, Process Name, and Branch Name), and 'Priority Summary' (a table with columns: Branch, Process Name, Stage Name, No of High Priority Items, No of Medium Priority Items, and No of Low Priority Items).

The Registration stage has two sections Basic Details and Liquidation Details. Let's look at the details of Registration screens below:

Application Details

The screenshot shows the 'Export LC Liquidation' application details form. The form is divided into two main sections: 'Application Details' and 'Liquidation Details'. The 'Application Details' section includes fields for Drawing Reference Number (PK2EAP5211252001), Process Reference Number (PK2ELCL000006978), Export LC Reference Number (PK2ELAC21125AZ3Y), Priority (Medium), Beneficiary (001044 GOODCARE PLC), Submission Mode (Desk), Branch (PK2-Oracle Banking Trade Finan...), and Liquidation Date (May 5, 2021). The 'Liquidation Details' section includes fields for Tenor Type (Usance), Drawing Date (May 5, 2021), Presenting Bank, Outstanding Amount (GBP £60,000.00), Unlinked FX Rate, Documents Received, Issuing Bank (001041 WELLS FARGO), Presenting Bank Reference Number, Additional Amount, Rebate Amount, Product Code (EAPS), Issuing Bank Reference (TEST), Drawing Amount (GBP £60,000.00), Nego/Finance Amount, Customer Dispatch, Product Description (OUTGOING DOCUMENTARY USANCE E), Date of Expiry (May 6, 2021), Amount In Local Currency (GBP £60,000.00), and Liquidation Amount (GBP). The form also includes buttons for 'View LC', 'Events', 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	Provide the drawing reference number. Alternatively, user can search the Drawing reference number using LOV. In the LOV, user can input Drawing Reference Number, Applicant, Beneficiary, Issue Date, Currency, Amount and User Reference to fetch the drawing details. Based on the search result, select the applicable LC to add the applicant response.	

Field	Description	Sample Values
Export LC Reference Number	Read only field. Export LC Reference Number will be auto-populated based on the selected LC from the LOV.	
Beneficiary	Read only field. Beneficiary ID and name will be auto-populated based on the selected LC from the LOV.	
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Liquidation Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018

Liquidation Details

Registration user can provide liquidation details in this section. Alternately, details can be provided by Data Enrichment user.

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor of the drawing.	
Documents Received	Read only field. This field displays the documents received details of the drawing.	
Product Code	Read only field. This field displays the product code of the drawing.	

Field	Description	Sample Values
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Date	Read only field. This field displays the drawing date details of the drawing.	
Issuing Bank	Read only field. This field displays the issuing bank ID and issuing bank name of the drawing.	
Issuing Bank Reference	Read only field. This field displays the issuing bank ID of the drawing.	
Date of Expiry	Read only field. This field displays the expiry date of the drawing.	
Presenting Bank	Read only field. Presenting Bank will be auto-populated based on the selected LC from the LOV.	
Presenting Bank Reference Number	Read only field. Presenting Bank Reference number will be auto-populated based on the selected LC from the LOV.	
Drawing Amount	Read only field. This field displays the LC Drawing Amount as per the selected drawing.	
Amount in Local Currency	Read only field. This field displays the Local currency and amount.	
Outstanding Amount	Read only field. Outstanding amount value will be auto-populated. This field displays the value as per the latest LC.	
Additional Amount	Read only field. Additional Amount will be auto-populated. This field displays the value as per the latest LC.	
Nego/Finance Amount	Read only field. Nego/Finance Amount will be auto-populated. This field displays the value as per the latest LC.	
Liquidation Amount	Provide the liquidation amount.	
Unlinked FX Rate	Provide the Unlinked FX Rate.	

Field	Description	Sample Values
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	

Miscellaneous

Export LC Liquidation

Documents Remarks Customer Instruction Common Group Messages

Application Details

Drawing Reference Number PK2EAPS211252001

Export LC Reference Number PK2ELAC21125AZ3Y

Beneficiary 001044 GOODCARE PLC

Branch PK2-Oracle Banking Trade Finan...

Process Reference Number PK2ELCL000006978

Priority Medium

Submission Mode Desk

Liquidation Date May 5, 2021

View LC Events

Liquidation Details

Tenor Type Usance

Documents Received

Product Code EAPS

Product Description OUTGOING DOCUMENTARY USANCE E

Drawing Date May 5, 2021

Issuing Bank 001041 WELLS FARGO B

Issuing Bank Reference TEST

Date of Expiry May 6, 2021

Presenting Bank

Presenting Bank Reference Number

Drawing Amount GBP £60,000.00

Amount In Local Currency GBP £60,000.00

Outstanding Amount GBP £60,000.00

Additional Amount

Nego/Finance Amount

Liquidation Amount GBP

Unlinked FX Rate

Rebate Amount GBP

Customer Dispatch

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	

Field	Description	Sample Values
Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from LC Issuance.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Export LC Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Liquidation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status All

Letter of Credit
Pro-forma Invoice

Letter of Credit
Application Form

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy

Document Description

Document Expiry Date

Link Document

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Documents

Document Status: All

Letter of Credit
Pro-forma Invoice

Letter of Credit
Application Form

wqwq.png

Created - 2022-06-28
By - PERI01

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id: 2400

Application Reference Number: PK2ILCI000019041

Document Type Id: TFPM_DOCTYPE001

Document Title: wqwq

Entity Reference Number: PK2ILCI000019041

Document Description:

Document Expiry Date: Jun 29, 2022

Remarks:

Drop files here or click to select

Current selected files: []

Update Cancel

Data Enrichment

On successful completion of Registration of an Export LC Liquidation request, the request moves to Data Enrichment stage. At this stage the gathered information during Registration are scrutinized.

During the submit at the end of this stage, an amount block will be created in the settlement account for the amount to be liquidated. Customer cannot use the amount for which the amount block is created until the transaction is completed.

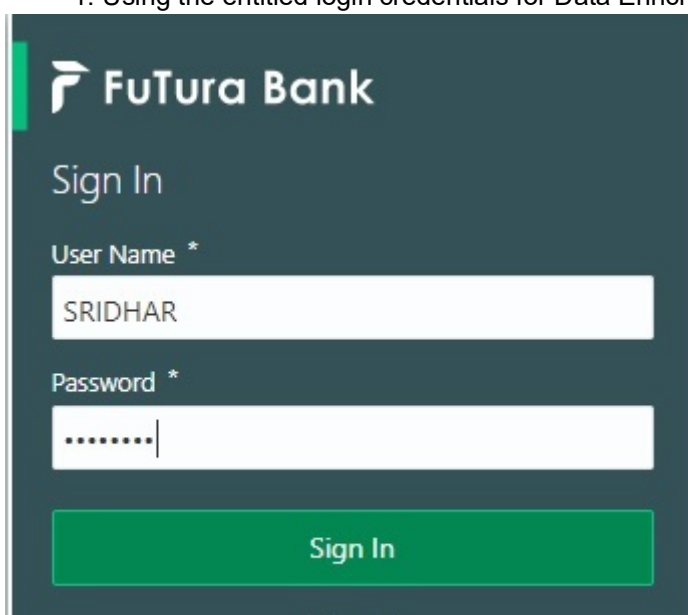


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring and management:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, and Status. Data rows include EMR & CO, NA, and NA.
- Hand-off Failure:** Table with columns: Branch, Process Name, and Stage Name. Data row includes Bank Futura, NA, and Retry HandOf.
- Priority Details:** Table with columns: Branch, Process Name, and Stage Name. Data rows include Bank Futura, NA, and 004.
- High Value Transactions:** A bubble chart showing transaction values over time for GBP.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), and Priority. Data rows include NA, HSBC BANK, WALL MART, and EMR & CO.
- Priority Summary:** Table with columns: Branch, Process Name, and Stage Name. Data row includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** Table with columns: Branch, Process Name, and Stage Name.
- SLA Status:** A dropdown menu currently showing Cucumber Testing.
- Tasks Detailed:** A dropdown menu currently showing Cucumber Testing.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page displays a table of tasks with the following columns: Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office Ref No. The table contains several rows of task data. The 'Free Tasks' option in the left sidebar is highlighted.

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the 'Free Tasks' page with the 'Acquire' button highlighted in the top toolbar. The table of tasks is visible below the toolbar.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The Data Enrichment stage has following hops for data capture:

- Main Details
- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for liquidation stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Liquidation Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Liquidation Details

The fields listed under this section are same as the fields listed under the [Liquidation Details](#) section in [Registration](#). Refer to [Liquidation Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Liquidation Details

Tenor Type

Sight

Drawing Date

May 5, 2021

Presenting Bank

Outstanding Amount

GBP £10,000.00

Unlinked FX Rate

Documents Received

Issuing Bank

003763 CITIBANK IRELA

Presenting Bank Reference Number

Additional Amount

Rebate Amount

GBP

Product Code

ESU1

Issuing Bank Reference

CounterValid1

Drawing Amount

GBP £10,000.00

Nego/Finance Amount

GBP £10,000.00

Customer Dispatch

Product Description

OUTGOING DOCUMENTARY SIGHT BIL

Date of Expiry

Aug 3, 2021

Amount In Local Currency

GBP £10,000.00

Liquidation Amount *

GBP £100.00

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Maturity Details

ORACLE Free Tasks (DEFAULTTENTY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000058413 Screen (2 / 6)

Main Details Maturity Details Advices Additional Details Settlement Details Summary

Maturity Details

Tenor Type: Tenor Basis: After Goods pass Foods & Drug A Start Date: May 6, 2019 Tenor Days: 25

Transit Days: Maturity Date: May 31, 2019 Usance Interest Rate: Interest Amount:

Interest From Date: Interest To Date: Acceptance Commission From Date: Acceptance Commission To Date:

Multi Tenor

Tenor	Tenor Basis	Start Date	Tenor Days	Transit Days	Maturity Date	Usance Interest Rate	Usance Interest Currency	Interest Amount	Action
2	GA	May 6, 2019	25		May 31, 2019		GBP		<input type="checkbox"/> <input type="checkbox"/>
1	CC	May 6, 2019	23		May 29, 2019		GBP		<input type="checkbox"/> <input type="checkbox"/>

Page 1 of 1 (1-2 of 2 items) 1

Other Bank Charges

Other Bank Charges-1: Other Bank Charges-2: Other Bank Charges-3:

Other Details

Debit Value Date: May 6, 2019 Credit Value Date: May 6, 2019 Value Date: May 6, 2019 Allow Pre-Payment: ☐

Refund Interest: ☐ Transfer Collateral from LC: ☐

Additional Fields

No Additional Fields configured!

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Read only field. This field displays the tenor type as per LC.	
Tenor Start Date	Read only field. This field displays the tenor type as per LC.	
Tenor Days	Read only field. This field displays the tenor type as per LC.	
Transit Days	Read only field. This field displays the tenor type as per LC.	

Field	Description	Sample Values
Maturity date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	<p>Read only field.</p> <p>This field displays the Usance Interest Rate.</p>	
Interest Amount	<p>Read only field.</p> <p>This field displays the Interest Amount.</p>	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest To Date	Select the interest to date. The interest to date cannot be earlier than branch date and later than maturity date.	
Accept Commission From Date	Update the acceptance commission start date.	
Accept Commission To Date	Update the acceptance commission to date.	

Multi Tenor

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon. Provide the multi tenor details based on the description in the following table:

Field	Description	Sample Values
Tenor	Provide the tenor number.	
Tenor Basis	<p>Provide the tenor basis.</p> <p>The tenor base code description is displayed based on the selected tenor basis.</p>	
Tenor Start Date	Provide the tenor start date.	
Tenor Days	Provide the the number of tenor days.	
Transit Days	Provide the the transit days, if the tenor is sight.	

Field	Description	Sample Values
Maturity Date	<p>Provide the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	Provide the Usance Interest Rate.	
Usance Interest Currency	Provide the Usance Interest Currency.	
Interest Amount	Provide the Interest Amount.	
Action	<p>Click Edit icon to edit the tenor record.</p> <p>Click Delete icon to delete the tenor record.</p>	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Allow Pre-Payment	<p>Toggle On: Set the toggle 'On' to enable pre-payment.</p> <p>Toggle Off: Set the toggle 'Off' to disable pre-payment.</p>	
Refund Interest	<p>Toggle On: Set the toggle 'On' to refund the interest.</p> <p>Toggle Off: Set the toggle 'Off' to deny the interest refund.</p>	

Field	Description	Sample Values
Transfer Collateral from LC	Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.	

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Allow Pre-Payment	Toggle On: Set the toggle 'On' to enable pre-payment. Toggle Off: Set the toggle 'Off' to disable pre-payment.	
Refund Interest	Toggle On: Set the toggle 'On' to refund the interest. Toggle Off: Set the toggle 'Off' to deny the interest refund.	
Transfer Collateral from LC	Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields screen.

Advices

Free Tasks

(DEFAULTTIVITY)
(PK2) May 6, 2019
SRIDHAR02 subham@gmail.com

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000058413
Overrides

Main Details
Maturity Details
Advices
Additional Details
Settlement Details
Summary

Advices

Advice : ADV_PMT_ACP

Advice Name : **ADV_PMT_ACP**
Advice Party : **ISSUING BANK**
Party Name : **WELLS FARGO LA**
Suppress : **NO**
Advice

Advice : REMITTANCE_LTR

Advice Name : **REMITTANCE_LTR**
Advice Party : **ISSUING BANK**
Party Name : **WELLS FARGO LA**
Suppress : **NO**
Advice

Advice : PAYMENT_MESS...

Advice Name : **PAYMENT_MESSAGE**
Advice Party :
Party Name :
Suppress : **NO**
Advice

Screen (3 / 6)

Audit
Reject Refer Hold Cancel Save & Close Back Next

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required. User can also suppress the advice, if required

Additional Details

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000006978
Overrides
Customer Instruction
Common Group Messages

Main Details
Maturity Details
Advices
Additional Details
Settlement Details
Summary

Additional Details

Pre Shipment Details Preshipment Credit : Outstanding Amount :	Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : 4800 Contribution : Collateral Status :	Payment Details Immediate Liquidation: No Required : No Immediate : No Acceptance Required	Commission, Charges an... Charge : GBP 1200 Commission : Tax : GBP null Block Status :
FX Linkage FX Reference Number : Contract Currency : Contract Amount :	Preview Messages Language : Preview Advice : -	Loan Preferences Loan Tenor : Loan Maturity : Loan Amount :	Accounting Details Event : LIQD Branch : PK1 Account : PK1000325025
Linked Loan Details Loan Account : Loan Currency : Loan Amount :	Assignment of Proceeds AssignmentOfProceeds No Assignee Name : Assigned Amount :	Interest Details	

Screen (4 / 6)

Audit
Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

×

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	View
No data to display.								

Cash Collateral Details

Collateral Percentage *

100.0

▼

▲

Collateral Currency and amount

GBP

▼

£120.00

Exchange Rate

1

▼

▲

+

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message
1	GBP	PK20010440017	1	NaN			VN	

Save & Close

Cancel

Limit Details

×

Customer Id

001044

Q

Line ID *

001044_GB

Q

Contribution % *

100.0

▼

▲

Limits Description

Contribution Currency

GBP

Contribution Amount *

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

24-Dec-2020

Verify

Save & Close

Close

Field	Description	Sample Values
Customer ID	Read only field. Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	

Field	Description	Sample Values
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

This section displays the collateral details:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

1.0

Collateral Contribution Amount *

\$52.26

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£67.94

Response

VS

Verify

Collateral Amount to be Collected *

\$67.00

Collateral Split % *

78.0

▼

▲

Settlement Account *

PK20011850014

🔍

Exchange Rate

1.3

▼

▲

Account Available Amount

£999,999,999,999.00

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Cash Collateral Details

Collateral Percentage	The percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks the View link.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	The collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	The settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>The percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message “Defaulted Collateral Percentage modified”.</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.</p>	
Account Balance Check Response	This field displays the account balance check response.	
View Link	Click view link to view any existing Collateral Details.	

Commission, Charges and Taxes Details

This section displays charge details:

Commission,Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Close

Commission Details

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Payment Details

Payment Details

PaymentDetails

Auto Liquidate
☒

Reimbursing Bank

Preshipment Credit Outstanding Amount

Preshipment Credit Availed
☐

Reimbursement Days

Preshipment Credit Repayment Amount

Reimbursement Claimed
☐

Preshipment Credit A/C No.

Liquidate using Collateral
☐

Repay Preshipment Credit
☐

Reimbursement Date

Split Settlement
☒

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
AMT_PURCHASED	GBP	Debit	000356780180	keerthy01	000	GBP
AMT_PURCHASEDEQ	GBP	Credit	000356780180	keerthy01	000	GBP

Split Settlement


Component	Contract Currency	Amount
No data to display.		

Split Settlement Details

+ - Fetch Exchange Rate

Save & Close Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidation Required	<p>Toggle On: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy.</p> <p>Toggle Off: Select No if auto liquidation is not required.</p>	
Pre-shipment Credit Availed	<p>Toggle On: Select Yes, if pre-shipment credit is availed.</p> <p>Toggle Off: Select No if pre-shipment credit is not availed.</p>	
Reimbursement Claimed	<p>Switch on the toggle if the reimbursement is already claimed.</p> <p>This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.</p>	
Repay Pre-shipment Credit	<p>Toggle On: Select Yes, if pre-shipment credit to be repaid.</p> <p>Toggle Off: Select No if there is no pre-shipment credit to be repaid.</p>	
Reimbursing Bank	<p>Read only field.</p> <p>Reimbursing bank details gets defaulted from the LC.</p> <div>  <p>Note</p> <p>If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</p> </div>	

Field	Description	Sample Values
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Pre-shipment Credit Account Number	This field displays the pre-shipment credit account number.	
Reimbursement Date	This field will be enabled only if Reimbursement Claimed is 'Yes'. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	
Liquidate using Collateral	Switch On the toggle to liquidate using collateral.	
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	

Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.


Assignment of Proceeds ✕

Assignee Details

	Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Assignee Bank Details	Account With Institution
<input type="checkbox"/>	1	001204 <input type="text" value="test internal"/>	25	GBP <input type="text" value=""/>	\$25,000.00	<input type="text" value=""/>	001204 <input type="text" value=""/>
<input type="checkbox"/>	2	001204 <input type="text" value="testing"/>	30	GBP <input type="text" value=""/>	\$30,000.00	<input type="text" value=""/>	001204 <input type="text" value=""/>

Save & Close
Cancel

Field	Description	Sample Values
Assignment Paid By Importer	Slide the toggle if assignment is paid by importer.	
Sequence	User can update the sequence number.	

Field	Description	Sample Values
Assignee ID & Assignee Details	<p>User can select the assignee id. Assignee details appear based on selected assignee ID.</p> <p>User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.</p>  <p>Note</p> <p>WALKIN customers is allowed as assignee.</p>	
Assignment %	<p>User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override. The assignment percentage should be rounded to two decimal places</p>	
Currency	<p>User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.</p>	
Assigned Amount	<p>User can input the amount that has to be assigned to the assignee. If the user has already inputted the assignment percentage, system to calculate the amount and populate the value</p>	
Assignee Bank Details	<p>User can select the assignee bank.</p>	
Account with Institution	<p>User can select the account number of the Account with Institution.</p>	

Interest Details

The user can view and modify the Interest Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this section.

Interest Details

Recalculate

Redefault

Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Amount	Waive
No data to display.														

Save & Close

Cancel

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> • Fixed Floating • Special 	
Rate Code	This field displays the rate code applicable for the Component.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	

Field	Description	Sample Values
Special Pricing Reference Number	<p>User can capture the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component).</p> <p>Special Pricing Reference is not applicable for Penal Interest components.</p> <p>For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.</p> <div data-bbox="683 602 746 669" data-label="Image"> </div> <p>Note</p> <p>System shows an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.</p>	
Currency	System defaults the Interest currency in this field.	
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	<p>System displays the Charge Party based on the type of transaction.</p> <p>In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..</p>	
Settlement Account	<p>System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.</p> <p>In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).</p>	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.



FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

FX Linkage									
FX Linkage									
FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	 
Page 1 of 1 (1 of 1 items) < 1 >									
Average FX Rate									
0									
Save & Close Cancel									

FX Linkage

FX Reference Number *

PK2FXF1200762005

Contract Amount

GBP

£1,000.00

Linkage Amount *

GBP

£1,000.00

Amount in Contract Currency

1000

FX Delivery Period From

Currency

GBP

Available Contract Amount

GBP

£1,000.00

Rate

1.33

FX Expiry Date

Jun 28, 2020

FX Delivery Period To

Save & Close

Close

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	<p>Sum of Linked amount will not be greater than LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	

Field	Description	Sample Values
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Loan Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

Loan Preference

Loan Preferences

Product: INAC

Customer Id: 001344

Customer Name: EMR & CO

Drawing Currency: GBP £20,000.00

Loan Amount Required in Drawing Currency: GBP £10,000.00

Credit Line: 001344

Loan Tenor: 12

Exchange Rate: 10

Loan Currency-Amount: GBP £10,000.00

Loan Maturity Date: Oct 26, 2019

Save & Close Cancel

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Product	Read only field. This field displays the loan product linked to the drawing product.	
Customer ID	Read only field. This field displays the customer ID of the applicant/applicant bank.	
Customer Name	Read only field. This field displays the applicant/applicant bank name.	
Drawing Currency	Read only field. This field displays the currency for the drawing.	
Loan Amount Required in Drawing Currency	Application defaults the drawing outstanding amount and enables the user to reduce the amount.	

Field	Description	Sample Values
Credit Line	Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines.	
Loan Tenor	Application defaults the loan tenor based on the product.	
Exchange Rate	This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.	
Loan Currency-Amount	Select the currency for the loan amount.	
Loan Maturity Date	System defaults the date based on the Loan value date and Loan tenor. User cannot change the value.	

Preview

User can view the draft preview of the advise.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Settlement Details

ORACLE My Tasks (DEFAULTTENTIVITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000058413

Screen (5 / 6)

Settlement Details

☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		Y
BCOPNCG_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		Y
BCSWFT_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		Y
BILL_AMND_AMT	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	N
CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
CHG1_LIQD_AMTEQ	GBP	Debit	PK20010410027	WELLS FARGO LA	GBP	No	N
COLL_LIQ_AMT	GBP	Debit	PK20010410027	WELLS FARGO LA	GBP	No	N
COLL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
EBC_DIS_IN_ADI	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
EBC_DIS_IN_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	N

BCCOUR_LIQD - Party Details

Transfer Type: None

Ordering Institution: Name/Account

Account With Institution: Name/Account

Payment Details

Sender To Receiver 1:

Sender To Receiver 2:

Sender To Receiver 3:

Sender To Receiver 4:

Sender To Receiver 5:

Sender To Receiver 6:

Remittance Information

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Charge Details:

Netting Indicator:

Ordering Customer: AAEMNL21 Name/Account

Senders Correspondent: Name/Account

Receivers Correspondent: Name/Account

Beneficiary Institution: Name/Account

Ultimate Beneficiary: Name/Account

Intermediary Institution: Name/Account

Intermediary Reimbursement Institution: Name/Account

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	

Field	Description	Sample Values
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment Export LC Liquidation request.

The tiles must display a list of fields with values. User can drill down from summary Tiles to respective data segments.

My Tasks

(DEFAULTTENTIV)

(PK2) May 6, 2019

SRIDHAR02
 subhans@gmail.com

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000058413

Screen (6 / 6)

Main Details
 Maturity Details
 Advices
 Additional Details
 Settlement Details
Summary

Main Details Product Code : EAPM Currency : GBP Amount : 20000	Maturity Details Tenor Type : Mixed Tenor Basis : After Good Maturity Date : 2019-05-31	Advices Advice 1 : ADV_PMT_ACP Advice 2 : REMITTANCE Advice 3 : PAYMENT_ME	Payment Details Immediate Accept : Reim Claimed :	FX Linkage Reference Number : Contract Amount : Contract Currency :
Preview Messages Language : ENG Preview Message :	Loan Preferences Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Accounting Details Event : Account Number : Branch :	Settlement Details Component : LOAN_LIQD Account Number : PK20010410 Currency : GBP	Parties Details Drawer : GOODCARE PLC Drawee : MARKS AND Confirming Bank : WELLS FARG
Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 84000 Collateral Status : Not Verified	Compliance details KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Commission,Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia	Assignment of Proceeds Assignment of proceeds : No Assignee Name : Assigned Amount :	Linked Loan Details Loan Account : Loan Currency : Loan Amount :

Audit
Reject
Refer
Hold
Cancel
Save & Close
Back
Next
Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- FX Details - User can view the details of FX linkage.
- Preview Messages - User can view the preview of the draft message.
- Loan Preferences - User can view the loan preference details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Submit	Task will get moved to next logical stage of Export LC Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Liquidation stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the Data Enrichment Stage.

The amount Block earmark and Limit Earmarks if applicable are also initiated after the Data Enrichment stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account.

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Export LC Liquidation Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	

Field	Description	Sample Values
Cancel	Cancel the Export LC Liquidation KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles must display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Liquidation Currency Code
- Liquidation Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the FuTura Bank application interface. On the left is a navigation menu with options like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Free Tasks, Hold Tasks, My Tasks (selected), Search, Supervisor Tasks, and Trade Finance. The main area shows a 'My Tasks' table with columns for Action, Priority, Application Number, and Release Date. A modal window titled 'Approval Rekey' is open in the foreground, containing tabs for Incoming Message, Documents, and Remarks. The modal has two input fields: 'Liquidation Currency Code' with a dropdown menu showing 'GBP' and a green checkmark, and 'Liquidation Amount' with a text input showing '£100.00' and a green checkmark. At the bottom of the modal are buttons for 'Proceed', 'Refer', and 'Cancel'. In the background, a table of tasks is visible with columns for Process Name, Stage, and Back Office Ref No.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R5 - Others 	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

Summary

My Tasks

FBN UK (GS1)
Feb 1, 2019
SRIDHAR02
subham@gmail.com

Export LC Liquidation - Approval1 :: Application No: GS1ELCL000006484
Documents
Remarks
Audit

Summary
Summary
Screen (1 / 1)

Main Details
Product Code : EAPS
Currency : GBP
Amount : 100

Payment Details
Immediate Accept : No
Reim Claimed : No

Settlement Details
Component : LOAN_LIQD...
Account Number : GS10000261...
Currency : GBP

Limits Details
Limit Currency :
Limit Contribution :
Limit Status : Not Verified
Collateral Currency : GBP
Collateral Contr. :
Collateral Status : Not Verified

Party Details
Drawee : Test25719
Drawer : EMR & CO
Issuing Bank : HSBC BANK

Advice Details
advice1 : PAYMENT_ME...
advice2 : DISCREPANC...

Preview Messages
Language : ENG
Preview Message : -

Charge
Charge :
Commission :
Tax :
Block Status : Not Initia...

Compliance
KYC : Verified
Sanctions : Verified
AML : Verified

Audit
Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view charge details.
- Advices - User can view the details of the advices.
- Preview Messages - User can view the preview of the draft message.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Liquidation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Response - User can view beneficiary response details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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